DSB SUBSCRIPTION MANAGEMENT AND CONNECTIVITY POLICY

1   GENERAL

1.1   This Subscription Management and Connectivity Policy forms part of the Agreement agreed between the User and the DSB. Defined terms shall have the same meaning as set out in the main terms of the Agreement and as otherwise set out herein.

1.2   The general terms applicable to the on-boarding of Users of the OTC ISIN and/or UPI Services are set out in section 2 of this policy.

1.3   The on-boarding terms specific to Users for the OTC ISIN Services and UPI Services are outlined in sections 3 and 4 of this policy.

2   COMMON ON-BOARDING TERMS

2.1   The on-boarding terms set out in this paragraph 2 (Common On-boarding Terms) shall apply to both the ISIN and UPI Services.

2.2   Subject to compliance with the Acceptable Use Policy, the DSB will offer a file download service that permits all Users to download all the ISINs / UPIs (as applicable) (and associated product attributes) that have been created up until the end of the previous day.

2.3   User categories which permit API connectivity will be able to connect to the OTC ISIN Services and/or UPI Services using one of two programmatic means: the Financial Information eXchange (FIX) API and the Representational State Transfer (ReST) API (as detailed further in the User Policy).

2.4   **FIX Users** are users who access the DSB services via the FIX protocol, and are subject to the following process ahead of a being able to use the OTC ISIN Services or UPI Services:

    (a)   following satisfaction of the requirements in paragraph 3.1(b) with respect to OTC ISIN Services and 4.1(b) with respect to UPI Services, the FIX User is subsequently required to complete FIX competency certification as prescribed by the DSB;

    (b)   the FIX User will be able to connect to the production environment within five (5) Working Days of completion of the FIX competency certification and of the DSB's onboarding requirements, conditional on the assumption that the means of access remain consistent between UAT and Production environments; and

    (c)   each FIX User organization will maintain a single point of contact (team/individual) who shall be responsible for administrating all internal users and ensuring user access is kept up to date.

2.5   **ReST API Users** are users who access the DSB services via the ReST protocol) are subject to the following process ahead of a being able to use the OTC ISIN Services or UPI Services:

    (a)   following satisfaction of the requirements in paragraph 3.1(b) with respect to OTC ISIN Services and 4.1(b) with respect to UPI Services, the ReST API User is subsequently required to demonstrate successful programmatic access to and use any of the DSB's UAT environments prior to connecting to the Production environment;

    (b)   the ReST API User will be able to connect to the Production environment within five (5) Working Days of completion of the DSB's onboarding requirements, conditional on the assumption that the means of access remain consistent between UAT and Production environments; and

(c)   each ReST API User organisation will maintain a single point of contact (team/individual) who will be responsible for administrating all internal users and ensuring user access is kept up to date.

2.6   **User Guide**

(a)   The following documents available via the DSB website will apply as relevant:

(i)   FIX Rules of Engagement (for Users connecting via FIX); and/or

(ii)   ReST API Rules of Engagement (Users connecting via the ReST API).

3   OTC ISIN SPECIFC ON-BOARDING TERMS

3.1   Users of OTC ISIN Services shall:

(a)   be connected on a first come, first-serve basis with priority given to paid Users; and

(b)   promptly notify the DSB of any changes to the details submitted in the DSB Registration Form. The DSB shall use reasonable endeavours to implement such changes within five (5) Working Days of the notification.

3.2   All DSB user documentation (including the DSB Registration Form) and the documents detailed in paragraph 2.6 above shall be provided by the DSB to the User on receipt of the registration details of that User.

4   UPI SPECIFIC ON-BOARDING TERMS

4.1   Users of UPI Services shall:

(a)   be connected to the UPI services on a first come, first-serve basis with priority given to paid Users;

(b)   use the DSB's Client Onboarding and Support Platform ("**COSP**") to undertake the administrative steps to onboard to the UPI Services, which is available via the DSB website. If required by the DSB, the User of UPI Services shall complete the DSB Registration Form to onboard to the UPI Services as an alternative to the COSP. The User shall promptly ensure that the DSB is aware of any changes to the onboarding details submitted via the COSP or the DSB Registration Form. The DSB shall use reasonable endeavours to implement such changes where appropriate within five (5) Working Days of the notification.

4.2   Where appropriate, all DSB user documentation (including the DSB Registration Form where required) and the documents detailed in paragraph 2.6 above shall be provided by the DSB to the User on receipt of the registration details of that User. Users who have onboarded via the COSP will be able to view and monitor their onboarding status via the COSP.

5   SUBSCRIPTION MANAGEMENT

5.1   On-boarding via DSB Registration Form

(a)   In respect of Users on-boarded via the DSB Registration Form:

(i)   the User shall notify the DSB of any changes to the details set out in the User's DSB Registration Form and the DSB shall notify the User of any changes (including with respect to the Fees) required as a result of the notified changes;

(ii)   any changes to a Subscription Form, other than those set out in 5.1(a)(i), must be agreed by the parties in writing and the DSB shall keep a record of such agreed

changes in Section D of the relevant Subscription Form. Additional Subscriptions may be added to the User's Subscription Form via the process set out in this paragraph 5.1(a)(ii). For the avoidance of doubt, such additional Subscriptions shall be governed by the terms of the User's then current Subscription Form and shall not require the parties to enter into a separate Subscription Form for such additional Subscription(s).

5.2   On-boarding via the COSP

(a)   In respect of Users on-boarded via COSP, changes to the User's Subscription Form (including additional Subscriptions) shall be requested by the User via the COSP and the DSB shall either accept or reject such change via the COSP.